



Patent US 281  
Attorney Docket No 612,404-306  
(formerly known as 244/006)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

**JOHN R. HAVENS, et al.**

Serial No.: 09/410,368

Filed: September 30, 1999

For: BIOMOLECULAR ATTACHMENT  
SITES ON MICROELECTRONIC ARRAYS  
AND METHODS THEREOF

Group Art Unit: 1631

Examiner: Jerry Lin

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97–1.98, information relating to the above-identified application is hereby disclosed. The accompanying Form PTO SB/08A provides a listing of documents that may be relevant to the subject application.

It is requested that the Examiner fully consider the art cited in the accompanying Form PTO SB/08A, initial the left-most column of the form adjacent each cited reference, and return a

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CERTIFICATE OF MAILING  
(37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Denise Doss  
Denise Doss  
Signature of Person Mailing Paper

copy for Applicants' records. It is further requested that the art be cited on the cover of any patent issuing from the subject application.

In accordance with §1.97(c), this Information Disclosure Statement is being filed after the period set forth in §1.97(b) above, but before the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311. Accordingly, the Commissioner is hereby authorized to charge Deposit Account No. 50-2862 for the requisite fee of \$180.00.

Copies of the cited non-patent literature references are enclosed.

This statement should not be construed as a representation that more material information does not exist or that an exhaustive search of the relevant art has been made. Nor does this statement constitute an admission by Applicants or Applicants' agent that the information provided herein is necessarily prior art to Applicants' invention. Moreover, Applicants reserve the right to establish the patentability of the claimed invention over any of the listed documents should they be applied there-against as references. Please charge any deficiency or credit any overpayment to Deposit Account No. 50-2862.

Respectfully submitted,

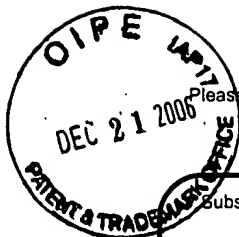
O'MELVENY & MYERS LLP

Dated: December 19, 2006

By: Diane K. Wong  
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DBM/dnd

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 2

**Complete if Known**

Application Number	09/410,368
Filing Date	September 30, 1999
First Named Inventor	Havens et al.
Group Art Unit	1631
Examiner Name	Jerry Lin
Attorney Docket Number	612404-306 (previously 244/006)

**U.S. PATENT DOCUMENTS**

Examiner Initials *	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	Number	Kind Code <sup>2</sup> (if known)			
	US-2002/0014409	A1	Matsumoto et al.	02-07-2002	
	US-2002/0195345	A1	Bentsen et al.	12-26-2002	
	US-2003/0146145	A1	Krotz et al.	08-07-2003	
	US-2003/0215937	A1	Matson	11-20-2003	
	US-2004/0071767	A1	Ceve et al.	04-15-2004	
	US-2005/0266456	A1	Williams et al.	12-01-2005	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials *	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sub>6</sub>
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**OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume–issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		LIU, et al., "Effect of Non-Ionic Surfactants on the Formation of DNA/Emulsion Complexes and Emulsion-Mediated Gene Transfer", Pharmaceutical Research, vol. 13, no. 11, 1996, pp. 1642-1646.	
		OGAWA, et al. "Preparation of Self-Standing Transparent Films of Silica-Surfactant Mesoporous Materials and the Conversion to Porous Silica Films", Adv. Mater., vol. 10, no. 14, 1998, pp. 1077-1079.	
Examiner Signature		Date Considered	

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Sheet <b>2</b>	of <b>2</b>	Attorney Docket Number	612404-306 (prev 244/006)	

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	Number	Kind Code <sup>2</sup> (if known)			
	US-5,212,050		Mier et al	05-18-1993	
	US-6,112,908		Michaels	09-05-2000	
	US-6,306,594	B1	Cozzette et al	10-23-2001	
	US-6,673,533	B1	Wohlstadter et al	01-06-2004	
	US-6,682,899	B2	Bryan et al	01-27-2004	
	US-6,689,473	B2	Guire et al	02-10-2004	
	US-6,824,974	B2	Pisharody et al	11-30-2004	
	US-6,838,053	B2	Havens et al	01-04-2005	
	US-6,960,298	B2	Krotz et al	11-01-2005	

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